Form PTO-1449 (Modified) Atty Docket No.: P18612							Serial No.: 10/809,853								
						OIP	E		1						
List of F	ater	nts a	nd F	ublications	Sta	ement	-	<u>}</u>	Applicant:	U	day S	hah et al.			
List of Patents and Publications Statement (Use several sheets if necessary)								6							
\ 2								A Comment				h 24, 2004			
						CAT & TRAD	MARK			-					
REFER	CENC	E D	ESIC	NOITANE			¥:5. F	ATENT DO	DCUMENTS						
Examin	er			Docum	nent	No.	\top			Class	S	Sub-	Filing date		
Initials			•				1					Class	if appropriate		
A	5		A/		131 [32		rada	11/2003	438		591			
A	<u>(</u>	\Box	AE		246 E	32	Mit	suhashi et	al. 12/2003	438		756			
	_	\dashv	AC				+	· · · ·		ļ		ļ			
 		\Rightarrow	AL				┽			 		 			
		-	AF				+	2	·	-		 	 		
		\dashv	AC		_	$\overline{}$	+			-		 			
		\dashv	Al				\forall					†	t		
			Α					$\overline{}$		<u> </u>		†			
			_ A.												
			Al												
			AL							-		ļ			
			AA 1A				+-			\vdash	<u> </u>				
		一	AC				+-			├					
		\neg	AF				+			 		 			
	•					FOR	EIGN	PATENT D	OCUMENTS		- 1 2-				
No.			Doc	ument No.		Date		Country		C	lass	Sub-Clas	s Translation		
	A					-				_					
	A							-							
	Â							 		_					
	Â			-				 					+		
	<u> </u>		·	OTHE	R AR	T (Includi	ng Aı	thor, Title,	, Date, Pertine	nt Pa	ges, e	etc.)			
-												-			
		A	\overline{v}								·				
		A۱	w l												
	AX														
		-^	^ 					<u></u>		~	_				
	AY								$\stackrel{\sim}{-}$						
		A	۱ ۲												
Examir	ner 9	Ai	lun	m Ch	am	لنننه	Date	Considered	511	100	0				
EXAMI through applica	h cita	l: In	itial i	f reference	con	sidered, w	hether consi	r or not cital dered. Incl	tion is in confo ude copy of thi	rmano	e with	MPEP 60 next comm	9; Draw line nunlcation to		

88 12/4/0[

Form P	TO-144	9 (Modi	fied) At	ty Docket N		12	Serial	No.: 10/8	09,853	<i>/</i> `		
List of P	atents	and Pub	lications St	atement	PA		Applic	ant: Uda	y Shah			
			ecessary)	f	Ý	;}	L	·				
					2 2006	<u>ه</u>	Filing	Date: Mai	rch 24, 200)4 		
REFER	ENCE	DESIGN	ATION	Ker u	S. PATE	NT DOCUM	MENTS				·	
Examin Initials	er		Document	No.				Class	Sub- Class		ng date opropriate	198
A(AA	6,255,698	R1	Gardne	retal 7	12001	257	369	+	7.07.1.2.0	12/4/06
7		AB	6,410,376		Ng et a			438	199			1214100
-	* .	AC	6,586,288		Kim et			438	183			
Ac		AD		0058374 A1	Kim et			438	228			
		AE]							
		AF										
		AG										
		AH						1		1_		
		Al						-		╀		
		AJ	<u> </u>				<u> </u>		 	↓		
		AK	<u> </u>		↓							
		AL		<u></u>				<u> </u>		_		
		AM	-					 _		\rightarrow		
		AN	 	·	 			. 				
		AO	<u> </u>							ـــبــــ		ļ.
	_					ENT DOCU	MENTS				l =	
A-7-	40		nent No.	Date	100	untry		Class	Sub-Cla	S\$	Translation	1
A.	AP	-	399 784 AZ	3/3/1999				+			<u> </u>	+
14C	AQ	GB 2	358 737 A	4/24/2001	 - -				 			ł
	AR-										l	ą.
			OTHER A	RT (Includin	g Autho	r, Title, Date	e, Pertin	ent Pages,	etc.)		,	
		AS							•			
		AT									•	
		AU										
					· ·		$\overline{}$					
		AV										
		AW							<u></u>			
	+	AX								\		
		AY					-				$\overline{}$	
Evami	nor A	AZ /	<u>~</u>		Date Con	sidered	 	<u> </u>				
Exami	/ \/	lamp(Llmoll	احند				5/1/04		:00: F	cow line	
through application	h citatio	initial if r on if not i	eterence co n conforma	ensidered, who nce and not o	etner or consider	not citation i ed. Include (s in cont copy of t	ormance with	h next con	munio	cation to	

Form P	149 (Mod	ified)	Atty	Docket N	اع و	1861	2	Serial No.: 10/809,8			153				
List of Patents and Publications Statements (Use several sheets if necessary)							3	<u> </u>	Applicant: Uday S			Shah et al.			
(Use se	veral	sheets if	necessar	y) 		AND BO BULL			Filing Date: April 20, 2004						
REFER	ENCE	DESIGN	LATION		199		AFE	NT DOCUM	ENTS						
Examiner Initials,			Docum	Document No.			•			Class	Sub- Class	Fillin	ng date opropriate		
A	1-	AA	6,365.4	150 B	11	Kim		4/200	2	438	216	+			
		AB										1			
		AC	<u> </u>								_		·		
		AD AE	\rightarrow	_		+-					 	+			
		AF			$\rightarrow \overline{}$	 				 		+-			
		AG					\leq								
		AH													
		AJ			• • • • • • • • • • • • • • • • • • • •	+				\leftarrow		+			
		AK				+				 	+	+-			
		AL										$\overline{\mathbf{x}}$			
		AM										L			
-		AN	 -							 	-	+			
<u> </u>	-	1 70			. · · · · · · · · · · · · · · · · · · ·					<u> </u>		ــــــــــــــــــــــــــــــــــــــ			
					FORE	EIGN F	PATE	ENT DOCU	MENTS						
			ment No.	Date			Country			Class	Sub-Class		Translation		
	AP										+		 		
	AQ		_				⊢					_			
			OTHE	AP.	T (includi	ng Au	thor	, Title, Date	Pertin	ent Pages	etc.)				
				. ~.	- (111010011	ig Au		, mo, o a.	.,	- agos					
		46													
	\dashv	AS								 					
L		AT _		_											
	-	AU	 -			_	_								
		AV													
		AW													
		AX													
		AY										<u></u>			
		AZ	\sim 0				٠	<u> </u>							
Exami	A	-lance	, Ch	C/M1	احملايا		_	idered	5	1.100	0				
throug	h citati	Initial if	reference	cons	sidered, wi e and not	nether consid	or n	ot citation is d. Include c	in conf	omance w	ith MPEP 6	09; D Imuni	raw line cation to		
applica	int.														

12/4106

Form PTO-1449 (Modified) Ally Dockel No.: P18612 Serial No.: Umaxon 853 10/1809 List of Patents and Publications Statement Uday Shah'et al. Applicant: (Use several sheets if necessary) Filling Date: March 24, 2004 REFERENCE DESIGNATION U.S. PATENT DOCUMENTS Examiner Document No. Class Sub-Filing date Initials Class if appropriate 6,063,698 438 585 A(AA Tseng et al. 5/2000 6,184,072 B1 2/2001 438 AB Kaushik et al. 197 6,420,279 81 438 785 AC Ono et al. 7/2002 AD 6,475,874 82 Xlang et al. 11/2002 438 396 6,514,828 B2 Ahn et al. 212003 438 240 AE 6,544,906 82 Rotondaro et al. 4/2003 438 785 AF AG 6,617,209 B1 Chau et al. 912003 438 240 AH 6,617,210 B1 Chau et al. 9/2003 438 240 Al 6.620,713 82 Arghavani et al. 9/2-03 438 585 AJ 6,689,675 81 Parker et al. 2/2004 438 585 AK 6,695,327 B1 Brask et al. 438 197 2/2004 2/2004 AL 6.696.345 82 Chau et al. 438 387 Kizilyalli et al. 12/2002 AM. US2002/0197790 A1 438 240 AN US2003/0032303 A1 Yu et al. 438 770 2/2003 AC Visokay et al. 3/2003 AO US2003/0045080 A1 438 591 FOREIGN PATENT DOCUMENTS Document No. Date Country Class Sub-Class Translation AP OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) Polishchuk et al., "Dual Workfunction CMOS Gate Technology Based on Metal Interdiffusion", AQ www.eesc.berkeley.edu, 1 page Doug Barlage et al., "High-Frequency Response of 100nm Integrated CMOS Transistors with AR High-K Gate Dielectrics", 2001 IEEE, 4 pages Lu et al., "Dual-Metal Gate Technology for Deep-Submicron CMOS Devices", dated April 29, AS 2003, 1 page Schwantes et al., "Performance Improvement of Metal Gate CMOS Technologies with Gigabit AT Feature Sizes", Technical University of Hanburg-Harburg, 5 pages Doczy et al., "Integrating N-type and P-type Metal Gate Transistors," Serial No. 10/327,293, ΑU Filed December 20, 2002 Brask et al., "A Method for Making a Semiconductor Device Having a Metal Gate Electrode." ΑV Serial No. 10/704,497, Filed November 6, 2003 Brask et al., "A Method for Etching a Thin Metal Layer", Serial No. 10/704,498, Filed November ΑW 6, 2003 Brask et al., "A Method for Making a Semiconductor Device with a Metal Gate Electrode that is Formed on an Annealed High-K Gate Dielectric Layer", Serial No. 10/742,678, Filed 12/19/03 AX Brask et al., "A Method for Making a Semiconductor Device that Includes a Metal Gate AY Electrode", Serial No. 10/739,173, filed December 18, 2003 Brask et al., "A CMOS Device With Metal and Silicide Gate Electrodes and a Method for Making It", Serial No. 10/748,559, filed December 29, 2003 Doczy et al., "A Method for Making a Semiconductor Device that Includes a Metal Gate A Electrode°, Serial No. 10/748,545, filed December 29, 2003 **Date Considered** Examiner 1106 EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line

through citation if not in conformance and not considered. Include copy of this form with next communication to

Express Mail Label No.: EL 981471996 US

12/4/06

D8 1214/08